

Polynomial p -groups and their connections with fusion systems and finite simple groups

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Theorem 1

The finite non-abelian simple groups are members of the following list.

- 1 *The alternating groups.*
- 2 *The groups of Lie type (automorphism groups of buildings).*
- 3 *The sporadic simple groups.*

From here on p denotes a prime number.

Brian, Francesco.

Amazing fact

It is very rare that a p -subgroup can be the Sylow p -subgroup of a finite simple group. There's something special about such p -groups.

Example 2

There are 10494213 groups of order 2^9 and only about 10 of them are Sylow 2-subgroups of a simple groups.

Hence such 2-groups are very rare and interesting groups. The same observation applies to p -groups in general.

Question 3

Is there a way we could know that being a Sylow p -subgroup of a simple group is unusual?

Examples of groups with isomorphic Sylow subgroups

It's very frustrating because many groups have isomorphic Sylow 2-subgroups.

Example 4

Suppose that $q = p^a$ and $r = s^b$ are powers of the primes p and s respectively.

If $(q - 1)_2 = (r - 1)_2$, then the simple groups $\text{PSL}_2(q)$ and $\text{PSL}_2(r)$ have isomorphic Sylow 2-subgroups.

Example 5

$E_6(2)$, ${}^2E_6(7)$, $F_4(2)$, HN and $\text{PSL}_4(3)$ all have isomorphic Sylow 3-subgroups (order 3^6).

It is very well known among finite groups theorists that $SL_5(2)$, and the sporadic simple groups $Mat(24)$ and He have isomorphic Sylow 2-subgroups.

Less well known is

Example 6

- 1 The sporadic simple groups Suz and Ly have isomorphic Sylow 3-subgroups [9310 groups of order 3^7].
- 2 The sporadic groups Ly , HN , BM have Sylow 5-subgroups isomorphic to that of $G_2(5)$ [684 groups of order 5^6].
- 3 The monster group \mathbb{M} and $G_2(7)$ has isomorphic Sylow 7-subgroups. [860 groups of order 7^6 .]

Broadly there are two type of p -groups in finite simple groups

We have two types of p -groups which occur:

- The Sylow p -subgroups of Lie type groups defined in characteristic p ; and
- The Sylow r -subgroups of Lie type groups defined in characteristic p where $r \neq p$ is a prime.

Polynomial p -groups

Let \mathbb{K} be a field of characteristic p with group of units \mathbb{K}^* . Set

$$A = \mathbb{K}[x, y].$$

Define $D = D(\mathbb{K}) = \mathbb{K}^* \times \mathrm{GL}_2(\mathbb{K})$ and

$$f(x, y) \cdot (\lambda, \begin{pmatrix} a & b \\ c & d \end{pmatrix}) = \lambda f(ax + by, cx + dy).$$

This makes A into a $\mathbb{K}D$ -module. This action preserves the submodules $V_n(\mathbb{K})$ of homogeneous polynomials of degree $n \geq 0$. Notice that $\dim_{\mathbb{K}} V_n(\mathbb{K}) = n + 1$.

Set

$$U(\mathbb{K}) = \{(1, \begin{pmatrix} 1 & 0 \\ c & 1 \end{pmatrix}) \in D\}$$

Define

$$P_n(\mathbb{K}) = V_n(\mathbb{K}) \rtimes D$$

and

$$B_n(\mathbb{K}) = V_n(\mathbb{K}) \rtimes \{(\lambda, \begin{pmatrix} a & 0 \\ c & d \end{pmatrix}) \in D \mid \lambda, a, c, d \in \mathbb{K}\}$$

$$S_n(\mathbb{K}) = V_n(\mathbb{K}) \rtimes U(\mathbb{K}).$$

Now assume that $|\mathbb{K}| = q = p^a$, then $S_n(\mathbb{K}) = S_n(q)$ has order q^{n+2} . We are only interested in the case when $n \leq p$.

In this case $V_n(q)$ is an irreducible $\mathbb{K}D$ -module.

The focus of our attention are the p -groups $S_n(q)$ and we call these groups polynomial p -groups.

Lemma 7

- 1 $S_1(q)$ is isomorphic to a Sylow p -subgroup of $SL_3(q)$.
- 2 $S_2(q)$ is isomorphic to a Sylow p -subgroup of $Sp_4(q)$.

For typical $q > p$, and $n \geq 3$, $S_n(q)$ is not a Sylow p -subgroup of a finite simple group.

Let $\gamma_i(S_n(q))$, and $Z_i(S_n(q))$ denote the terms of the upper and lower central series of $S_n(q)$.

Lemma 8

- 1 *the terms of the upper and lower central series coincide.*
- 2 $|\gamma_i(S_n(q))/\gamma_{i+1}(S_n(q))| = q$ for all $1 \leq i \leq n - 1$ and $S_n(q)/\gamma_1(S_n(q)) = q^2$.
- 3 $Z_i(S_n(q))U(q) \cong S_{i-1}(q)$ for all $1 \leq i \leq n$.
- 4 $S_n(q)/Z_i(S_n(q)) \cong S_{n-i}(q)$.
- 5 *The automorphism groups of $S_0(q)$ and $S_1(q)$ contain a subgroup isomorphic to $SL_2(q)$ and for $j > 2$, the automorphism groups are soluble.*

What is a fusion system of a group?

Suppose that p is a prime, X is a group and S is a p -subgroup of X . For $P, Q \leq S$, define

$$\text{Hom}_X(P, Q) = \{c_g \mid g \in X, P^g \leq Q\}$$

where c_g is the **conjugation map** induced by g :

$$c_g : x \mapsto g^{-1}xg.$$

If $P = Q$, then we write

$$\text{Aut}_X(P) = \text{Hom}_X(P, P) \cong N_X(P)/C_X(P).$$

Since we know S , the information about $N_G(P)$ which is lost when we make a fusion system is $C_X(P)$.

What is a fusion system of a group?

A **fusion system** determined by X and S is the category $\mathcal{F} = \mathcal{F}_S(X)$ with **objects all the subgroups of S** and, for objects P and Q of \mathcal{F} , **morphisms from P to Q**

$$\text{Mor}_{\mathcal{F}}(P, Q) = \text{Hom}_{\mathcal{F}}(P, Q) = \text{Hom}_X(P, Q).$$

For Q a subgroup of S , set

$$\text{Aut}_{\mathcal{F}}(Q) = \text{Hom}_X(Q, Q) \cong N_X(Q)/C_X(Q).$$

If S is a Sylow p -subgroup of X , then the fusion system is saturated.

Abstractly saturated fusion systems on a p -group S capture the properties of the fusion systems just defined with S a Sylow p -subgroup of G .

Theorem 9 (Alperin-Goldschmidt Theorem for Fusion Systems)

Suppose that \mathcal{F} is a saturated fusion system on S . Then

$$\mathcal{F} = \langle \text{Aut}_{\mathcal{F}}(S), \text{Aut}_{\mathcal{F}}(E) \mid E \text{ is } \mathcal{F}\text{-essential} \rangle.$$

This means that to describe a saturated fusion system we should list the \mathcal{F} -automorphism group of S , as well as $\text{Aut}_{\mathcal{F}}(E)$ for a representative of each \mathcal{F} -class of essential subgroups.

Essential subgroup:

- **Fully-normalized** (Normalizer in S as big as possible).
- **S -centric** (all \mathcal{F} -conjugates satisfy $C_S(E\phi) = Z(E\phi)$).
- $\text{Aut}_{\mathcal{F}}(E)/\text{Inn}(E)$ has a strongly p -embedded subgroup.

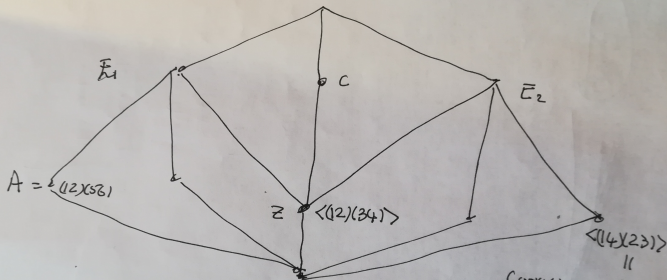
If we have an abstract saturated fusion system \mathcal{F} defined on S , we can ask if there is a finite group G and $S \in \text{Syl}_p(G)$ such that $\mathcal{F} = \mathcal{F}_S(G)$?

If there is such a group then we say that \mathcal{F} is **realized** by G . If there's no such group, then \mathcal{F} is **exotic**.

An Example

$$X = \text{Aff}(6)$$

$$S = D_8$$

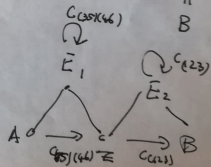


$$\text{Aut}_{\mathbb{Z}}(E_1) = \langle C_{(13)(24)}, C_{(35)(46)} \cong \text{SL}_2(2)$$

$$\text{Aut}_{\mathbb{Z}}(E_2) = \langle C_{(12)(56)}, C_{(123)} \cong \text{SL}_2(2).$$

$$\text{Hom}_{\mathbb{Z}}(\mathbb{K}(A, B)) = \{ C_{(35)(46)} \circ C_{(123)} \}$$

$$A \rightarrow Z \quad Z \rightarrow B$$



Some free amalgamated products

Define $R_0(q) = N_{P_n(q)}(S_0(q))$ and $R_1(q) = N_{P_n(q)}(S_1(q))$.

Then these groups have Sylow p -subgroups $S_0(q)U \cong S_1(q)$ and $S_1(q)U \cong S_2(q)$.

Then we have $R_0(q)/Z(R_0(q))$ is isomorphic to a Borel subgroup of $GL_3(q)$ and $R_1(q)/Z(R_1(q))$ is isomorphic to a Borel subgroup of $GSp_4(q)$.

Choose parabolic subgroups $L_1(q) \leq SL_3(q)$ (fixing a point) and $L_2(q)$ is $Sp_4(q)$ (fixing a point) then neglecting quotients we can form the free amalgamated products

$$X(n, q) = P_n(q) *_{R_0(q)} L_1(q)$$

$$Y(n, q) = P_n(q) *_{R_1(q)} L_2(q).$$

These are infinite groups. Still we may form the fusion systems as we do for finite groups.

Theorem 10 (Clelland-CWP, 2010)

$\mathcal{F}_{S_n(q)}(X(n, q))$ and $\mathcal{F}_{S_n(q)}(Y(n, q))$ are saturated and exotic.
Furthermore, they have no normal p -subgroups.

Draw a picture. Mention weak BN -pairs.

The essential subgroups of $\mathcal{F}_{S_n(q)}(X(n, q))$ are $V_n(q)$ and $S_0(q)$. So they are both elementary abelian. For $\mathcal{F}_{S_n(q)}(Y(n, q))$, $V_n(q)$ is abelian and $S_1(q)$ is a generalization of an extraspecial group.

Lemma 11 (CWP-Semeraro, 2021)

Suppose that \mathcal{F} is a saturated fusion system on S and P is an \mathcal{F} -essential subgroup of S . Let \mathcal{C} be a set of \mathcal{F} -conjugacy class representatives of \mathcal{F} -essential subgroups with $P \in \mathcal{C}$. Assume P has the minimality property:

if $Q < P$, then Q is not S -centric.

If $H_{\mathcal{F}}(P) \leq K \leq \text{Aut}_{\mathcal{F}}(P)$, then

$$\mathcal{G} = \langle \text{Aut}_{\mathcal{F}}(S), K, \text{Aut}_{\mathcal{F}}(E) \mid E \in \mathcal{C} \setminus \{P\} \rangle$$

is saturated. Furthermore, P is \mathcal{G} -essential if and only if $K > H_{\mathcal{F}}(P)$ and in this case $\text{Aut}_{\mathcal{G}}(P) = K$.

Theorem 12 (Grazian, CWP, Semeraro, van Beek, 2025)

Suppose that \mathcal{F} is a saturated fusion system on $S_n(q)$, then \mathcal{F} comes from the above construction or n and q are very small.

Discuss proof.

Step 1: what are the essential subgroups. Step 2: what are their automorphism groups.

Show that they fit together in a unique way and that their \mathcal{F} -automorphism groups $\text{Aut}_{\mathcal{F}}(E)$ are uniquely determined.

An invitation to fusion systems

Research directions centred on fusion systems:

- Determining fusion systems on a particular family of groups: Max. class (CWP-Grazian), sectional rank 3, Grazian.

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- Use fusion systems to classify the finite simple groups (Aschbacher, van Beek).
- Determine all the fusion systems on important p -groups (van Beek, Oliver, Raul Moragues Moncho, CWP-Semeraro, Baccanelli-Franchi-Mainardis).

Theorem 13 (CWP, Semeraro 2021)

Suppose that \mathcal{F} is a saturated fusion system on a 3-group of order 3^6 with $O_3(\mathcal{F}) = 1$ and $\mathcal{F} = O^3(\mathcal{F})$. Then there are a total of 70 fusion systems up to isomorphism.

group #	rank	# s.f.s	type
95	2	7	$\mathrm{PSL}_3^\pm(q), \nu_3(q \pm 1) = 3$
97	2	2	$B(3, 6; 0, 1, 0)$
98	2	2	$B(3, 6; 0, 2, 0)$
99	2	1	$B(3, 6; 1, 0, 0)$
100	2	3	$B(3, 6; 1, 0, 2)$
149	4	2	$G_2(3)$
307	4	10	$\mathrm{PSL}_4(3)$
321	4	13	$\mathrm{PSU}_4(3)$
453	4	21	$\mathrm{PSL}_3(3) \times \mathrm{PSL}_3(3), 3_+^{1+2} \times 3_+^{1+2}$
469	4	5	$\mathrm{PSL}_3(9), (3^2)_+^{1+2}$
479	5	4	$\mathrm{Alt}(15), (3 \wr 3) \times 3^2$

Thanks!